



## The $m$ -topology on $C_m(X)$ revisited

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### Abstract

Hewitt [E. Hewitt, Rings of real-valued continuous functions, I, Trans. Amer. Math. Soc. 64 (1948) 45–99], generalizing work of E.H. Moore, defined the  $m$ -topology on  $C(X)$ . In his article he demonstrated that certain classes of topological spaces  $X$  can be characterized by topological properties of  $C_m(X)$ . For example, he showed that  $X$  is pseudocompact if and only if  $C_m(X)$  is first countable. Others have also investigated topological properties of  $X$  via properties of  $C_m(X)$ , e.g., [G. Di Maio, L. Holá, D. Holý, R.A. McCoy, Topologies on the space of continuous functions, Topology Appl. 86 (2) (1998) 105–122] and [E. van Douwen, Nonnormality or hereditary paracompactness of some spaces of real functions, Topology Appl. 39 (1) (1991) 3–32]. We continue this practice in the second section and give some new equivalent characterizations. In the third section we prove the converse of a theorem of van Douwen [E. van Douwen, Nonnormality or hereditary paracompactness of some spaces of real functions, Topology Appl. 39 (1) (1991) 3–32] completing a characterization of when  $C_m(X)$  is a weak  $P$ -space. In the fourth section we determine when  $C_m(X)$  has no non-trivial convergent sequences.

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## 1. Introduction

For a given a topological space  $X$  we denote the set of real-valued continuous functions on  $X$  by  $C(X)$ . It is well known that  $C(X)$  is an  $\mathbb{R}$ -algebra under pointwise operations of addition, multiplication, and scalar multiplication.  $C(X)$  becomes a topological space when endowed with a number of different topologies. For example, there is the topology of pointwise convergence which is defined as the subspace topology inherited from the product topology on  $\mathbb{R}^X$  (and goes under the nickname  $C_p$ -theory). One may also consider the compact-open topology or the uniform topology. In this article we investigate the  $m$ -topology. Hewitt [6], generalizing work of E.H. Moore, defined the  $m$ -topology on  $C(X)$  to be the one whose base is the collection of sets of the form

$$B(f, e) = \{g \in C(X) : |f(x) - g(x)| < e(x) \text{ for every } x \in X\},$$

where  $f \in C(X)$  and  $e \in U(X)^+$  are arbitrary. Here  $U(X)^+$  refers to the set of all positive multiplicative units of  $C(X)$ . (See the remark at the end of this section.) The notation  $C_m(X)$  will be used when referring to  $C(X)$  under the  $m$ -topology. This topology, in general, is finer than the uniform topology (denoted  $C_u(X)$ ), and makes  $C_m(X)$  into a Hausdorff topological ring, i.e.,  $+$ ,  $\cdot$  are continuous operations. Generally speaking it is not a topological algebra although both translation by an element and multiplication by a multiplicative unit are autohomeomorphisms of  $C_m(X)$ , and so  $C_m(X)$  is a homogeneous space. Observe that since the  $m$ -topology is finer than the uniform topology the proof of the first part of our first proposition is standard. The last statement is straightforward to check.

**Proposition 1.1.** *Let  $\epsilon: X \rightarrow \mathbb{R}^{C_m(X)}$  be the evaluation map defined by  $\epsilon(x)(f) = f(x)$  for  $f \in C_m(X)$ . Then for each  $x \in X$ ,  $\epsilon(x) \in C(C_m(X))$ . The map  $\epsilon: X \rightarrow C_m(C_m(X))$  is continuous precisely when  $X$  is discrete.*

**Remark 1.2.** For a given ring  $R$  it is standard to denote the set of multiplicative units of  $R$  by  $U(R)$ . Thus, we take the approach that  $U(X)^+$  stands for the set of strictly positive multiplicative units of  $C(X)$ , that is,  $e(x) > 0$  for all  $x \in X$ . We have found that some authors prefer to use  $C(X)^+$  for this set, but in the context of the lattice structure on  $C(X)$  (partially ordered pointwise) this notation denotes the set of functions for which  $f \geq \mathbf{0}$ . We hope this does not cause any confusion.

We shall assume that *all spaces considered in this article are Tychonoff*.

## 2. Pseudocompactness

A space  $X$  is said to be *pseudocompact* if every element of  $C(X)$  is bounded. It is known that a space is pseudocompact if and only if there does not exist any  $C$ -embedded discrete sequence (see [5]).

Recall that a topological space is said to be first countable if it has countable *character*, that is, every point has a countable base of neighborhoods. In [6], Hewitt shows that the

$m$ -topology on  $C(X)$  is first countable precisely when  $X$  is pseudocompact, which in turn is equivalent to the  $m$ -topology coinciding with the uniform topology. Hewitt's proof can be slightly modified to obtain the stronger result that the  $m$ -topology is countably tight if and only if  $X$  is pseudocompact. Recently, other authors have expanded on Hewitt's Theorem to show that  $X$  being pseudocompact is equivalent to more topological conditions on  $C(X)$ . For example, in [2] the Čech-complete property is investigated and the authors show the following.

**Theorem 2.1.** *The following are equivalent:*

- (i)  $C_m(X)$  is countably tight.
- (ii)  $C_m(X)$  is first countable.
- (iii)  $C_m(X)$  is completely metrizable.
- (iv)  $C_m(X)$  is Čech-complete.
- (v)  $X$  is pseudocompact.

In this section our aim is to show that three other properties may be added to this list.

**Definition 2.2.** Let  $X$  be a space and  $p \in X$ . A  $\pi$ -base for  $p$  is a collection  $\mathcal{B}$  of nonempty open sets such that for each neighborhood  $U$  of  $p$  there exists an  $O \in \mathcal{B}$  such that  $O \subseteq U$ . The smallest cardinal of a  $\pi$ -base at  $p$  is called the  $\pi$ -character at  $p$  and is denoted by  $\pi\chi(p, X)$ . The supremum of all  $\pi$ -characters over points in  $p$  is called the  $\pi$ -character of  $X$  and is denoted by  $\pi\chi(X)$ . Observe that a base of neighborhoods is always a  $\pi$ -base, and so the  $\pi$ -character is never greater than the character of a space.

**Lemma 2.3.** *Suppose  $S$  is a countable discrete  $C$ -embedded subset of  $X$ . Any strictly positive function on  $S$  can be extended to an element of  $U(X)^+$ .*

**Proof.** Start off by selecting homeomorphism  $h : (0, \infty) \rightarrow (0, 1)$ . Now, if  $f \in U(S)^+$ , then  $g = \frac{1}{h \circ f} \in U(S)^+$ . Since  $S$  is a  $C$ -embedded subset of  $X$  there exists a continuous extension of  $g$  to all of  $X$ , say  $g' \in C(X)$ . Without loss of generality we can assume that  $1 \leq g'$ . It is now straightforward to check that the function  $h^{-1} \circ \frac{1}{g'}$  belongs to  $U(X)^+$  and is continuous extension of  $f$ .  $\square$

**Theorem 2.4.**  *$X$  is pseudocompact if and only if  $\pi\chi(C_m(X)) = \aleph_0$ .*

**Proof.** The necessity follows from Hewitt's Theorem. If  $X$  is pseudocompact, then  $C_m(X)$  is first countable and so has a countable  $\pi\chi$ -character.

To show the sufficiency suppose that  $X$  is not pseudocompact and let  $S = \{x_n\}$  be a countable discrete  $C$ -embedded subset of  $X$ . Let  $\{B(f_n, d_n)\}_{n \in \mathbb{N}}$  be any countable collection of basic open subsets of  $C_m(X)$ . We will construct a unit  $e \in U(X)^+$  such that  $B(f_n, d_n) \not\subseteq B(\mathbf{0}, e)$  for each natural number  $n$  from which it will follow that the  $\pi$ -character of  $C_m(X)$  is greater than  $\aleph_0$ .

First of all for each natural number  $n$  if  $f_n(x_n) \neq 0$  then let

$$e_n = d_n \wedge \left| \frac{f_n(x_n)}{4} \right|.$$

Otherwise let  $e_n = d_n$ . Notice that  $B(f_n, e_n) \subseteq B(f_n, d_n)$  for each  $n$ . We will show that  $B(f_n, e_n) \not\subseteq B(\mathbf{0}, e)$  for each natural number  $n$  which is sufficient to prove our claim.

We define a function on the sequence  $S = \{x_n\}$  as follows. Let  $n \in \mathbb{N}$ . If  $f_n(x_n) = 0$ , then let  $e'(x_n)$  be any positive real number satisfying

$$0 < e'(x_n) < \frac{e_n(x_n)}{6}.$$

If  $f_n(x_n) \neq 0$ , then notice that  $f_n(x_n) + \frac{e_n(x_n)}{4} \neq 0$ , and so let  $e'(x_n)$  satisfy

$$0 < e'(x_n) < \left| f_n(x_n) + \frac{e_n(x_n)}{4} \right|.$$

Since  $n$  is arbitrary this defines a function on  $S$ . Let  $e$  be any continuous extension of  $e'$  to a positive unit of  $X$ . Such an element exists by the previous lemma.

Now,  $f_n + \frac{e_n}{4} \in B(f_n, e_n)$ . However, by design  $f_n + \frac{e_n}{4} \notin B(\mathbf{0}, e)$ . To see this consider two cases. The first is that  $f_n(x_n) = 0$ . Then

$$0 < e(x_n) = e'(x_n) < \frac{e_n(x_n)}{6} < \frac{e_n(x_n)}{4} = \left| f_n(x_n) + \frac{e_n(x_n)}{4} \right|.$$

Next, if  $f_n(x_n) \neq 0$ , then

$$0 < e(x_n) = e'(x_n) < \left| f_n(x_n) + \frac{e_n(x_n)}{4} \right|.$$

We have shown that when  $X$  is not pseudocompact then any arbitrary countable collection of open sets  $\{B(f_n, d_n)\}$  is not a  $\pi$ -base, and thus  $\pi \chi(C_m(X)) > \aleph_0$ .  $\square$

Recall that for an ordinal  $\kappa$  a map from  $\kappa$  into  $A$  is called a  $\kappa$ -sequence in  $A$ . A  $\kappa$ -sequence is often denoted by  $\{x_\sigma\}_{\sigma < \kappa}$  where  $x_\sigma \in A$ . If  $\{x_\sigma\}_{\sigma < \kappa}$  is a  $\kappa$ -sequence in a space  $X$ , then we say the sequence converges to the point  $x$  or, equivalently, that  $x$  is the limit of the sequence if for every neighborhood  $U$  of  $x$  there is a  $\tau < \kappa$  such that  $x_\sigma \in U$  for every  $\sigma \geq \tau$ .

**Definition 2.5.** A space  $X$  is called *radial* if whenever  $A \subseteq X$  and  $x \in \bar{A}$ , then there is an ordinal  $\kappa$  and a  $\kappa$ -sequence  $\{x_\sigma\}_{\sigma < \kappa}$  in  $A$  such that  $x$  is the limit of the sequence. All totally-ordered spaces are radial. This is an ordinal generalization of the Fréchet–Urysohn condition.

A space is called *pseudoradial* if whenever  $A \subseteq X$  is not closed then there is an ordinal  $\kappa$  and a convergent  $\kappa$ -sequence  $\{x_\sigma\}_{\sigma < \kappa}$  in  $A$  whose limit does not belong to  $A$ . It was proved in [4] that for  $C_p(X)$  the conditions of being Fréchet–Urysohn, radial, and pseudoradial are all equivalent. We show that this holds for the  $m$ -topology as well. The proof of the next lemma is straightforward.

**Lemma 2.6.** *Suppose  $X$  is radial and  $x \in \bar{A}$ . Then the least ordinal  $\kappa$  for which there is a  $\kappa$ -sequence in  $A$  converging to  $x$  is a cardinal.*

**Theorem 2.7.** *The following are equivalent for a space  $X$ :*

- (i)  $X$  is pseudocompact.
- (ii)  $C_m(X)$  is radial.
- (iii)  $C_m(X)$  is pseudoradial.

**Proof.** Clearly, by Theorem 2.1 (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii). Therefore, it suffices to suppose that  $C_m(X)$  is pseudoradial and show  $X$  is pseudocompact. To that end let  $A$  be a nonclosed subset of  $C_m(X)$ . By hypothesis there is a cardinal  $\kappa$  and a  $\kappa$ -sequence in  $A$ , say  $\{f_\sigma\}_{\sigma < \kappa}$ , such that the sequence converges to some  $f \notin A$ . We claim that there is an  $\aleph_0$ -subsequence which converges to  $f$ . Once this is shown it will follow that  $C_m(X)$  is a sequential space and hence countably tight. By Hewitt’s Theorem  $X$  is pseudocompact.

For each natural number  $n$  we can choose an ordinal  $\sigma_n < \kappa$  such that  $\sigma_n > \sigma_{n-1}$  and that for every  $\sigma_n < \tau < \kappa$ ,  $f_\tau \in B(f, \frac{1}{n})$ . The sequence  $\{\sigma_n\}$  converges to  $\kappa$ . Otherwise there is an ordinal  $\tau < \kappa$  such that  $\sigma_n < \tau$  for each  $n$ , whence  $f = f_\tau \in A$ ; a contradiction.

Next, for any  $e \in U(X)^+$  there is an ordinal  $\sigma$  such that for every  $\sigma < \tau < \kappa$  we have  $f_\tau \in B(f, e)$ . Now, there is a natural number  $n$  such that  $\sigma < \sigma_m < \kappa$  for each  $m \geq n$ . This forces the convergence of the sequence  $\{f_{\sigma_m}\}$  to  $f$ , and as mentioned before we obtain that  $X$  is pseudocompact.  $\square$

Now that we have shown that  $X$  is pseudocompact precisely when  $C_m(X)$  is either radial, pseudoradial, or has countable  $\pi$ -character we conclude this section by showing that there is a general property that  $C_m(X)$  has when  $X$  is not pseudocompact.

**Proposition 2.8.** *If  $X$  is not pseudocompact, then  $C_m(X)$  is not locally compact.*

**Proof.** Suppose  $X$  is not pseudocompact and that, in order to obtain a contradiction,  $C_m(X)$  is a locally compact space. Let  $U$  be a compact neighborhood of  $\mathbf{0}$ . There is some unit  $u \in U(X)^+$  such that  $B(\mathbf{0}, u) \subseteq U$ . Since multiplication by a unit is a homeomorphism we can, without loss of generality, assume that  $u = 1$ . Consider the sequence of constant functions  $S = \{\frac{1}{n}\}_{n \in \mathbb{N}}$ . This set is discrete in the uniform topology whose closure is obtained by adjoining  $\mathbf{0}$ . It follows that  $S$  is a discrete subset of  $C_m(X)$ . Since  $X$  is not pseudocompact there is a discrete  $C$ -embedded sequence of distinct points, say  $T = \{x_n\}_{n \in \mathbb{N}}$ . By Lemma 2.3, there is an  $e \in U(X)^+$  such that  $e(x_n) = \frac{1}{n}$ . Since  $B(\mathbf{0}, e) \cap S = \emptyset$  it follows that  $S$  is a closed subset of  $C_m(X)$  as well. Furthermore, since  $S \subseteq U$  is closed it is compact forcing a contradiction.  $\square$

### 3. Weak $P$ -spaces

The aim of this section is to classify when  $C_m(X)$  is a weak  $P$ -space, that is, every countable subset is closed. In [3] the author gives a sufficient condition for  $C_m(X)$  to be

a weak  $P$ -space and for countable spaces he shows it is also necessary. One of the main theorems of this article shows that, in general, it is necessary.

The concept of a weak  $P$ -space is a generalization of the well-known class of  $P$ -spaces (see [5]) and was originally coined by Kunen [7]. Recall that for any cardinal  $\kappa$ , a space  $X$  is called a  $P_\kappa$ -space if the intersection of less than many  $\kappa$  open sets is again open. It is common to write  $P$ -space for  $P_{\aleph_1}$ -space. It is straightforward to show that a (Hausdorff)  $P_\kappa$ -space is a weak  $P_\kappa$ -space and there are several standard examples which show that the converse is not true. The class of weak  $P$ -spaces is not as well behaved as the class of  $P$ -spaces. For example, an infinite weak  $P$ -space may be pseudocompact or it may contain non-isolated  $G_\delta$ -points. Every  $P$ -space is zero-dimensional, but the density topology on the real numbers is an example of a connected weak  $P$ -space.

Since the  $m$ -topology is finer than the uniform topology it follows that  $\mathbf{0}$  remains a  $G_\delta$ -point in  $C_m(X)$ . Thus, it is impossible for  $C_m(X)$  to ever be a  $P$ -space. The fact that there are spaces for which  $C_m(X)$  is a weak  $P$ -space is somewhat surprising as  $C(X)$  is never a weak  $P$ -space when it is endowed with any of the other topologies mentioned above. Our main theorem generalizes Proposition 5.12 [3]. The main class of spaces we deal with are DRS-spaces and  $R$ -spaces. For completeness sake we define these concepts presently.

**Definition 3.1.** The sequence  $\{O_n\}_{n \in \mathbb{N}}$  is said to be *disjoint* if  $O_n \cap O_m = \emptyset$  whenever  $n \neq m$ . The sequence is called *discrete* if for every  $x \in X$  there is some neighborhood of  $x$  which intersects at most one of the  $O_n$ .

A space is called a *Discrete Refining Sequence space* (or *DRS-space* for short) if for every sequence  $\{O_n\}$  of nonempty, open subsets there is a discrete sequence  $\{V_n\}$  of nonempty, open subsets such that  $V_n \subseteq O_n$  for every  $n$ . Note that since we are assuming that our spaces are Tychonoff we could replace open set with cozeroset.

Some basic facts about a DRS-space  $X$  include that  $X$  is a *crowded space*, that is, a space with no isolated points, and that  $X$  is not pseudocompact. If  $X$  is a DRS-space, then so is every dense subspace and so is  $X \times Y$  for any space  $Y$ . (The proof of these results is in [3].)

**Proposition 3.2.**  $X$  is a DRS-space if and only if for each sequence of open subsets  $\{O_n\}_{n \in \mathbb{N}}$  of  $X$  there exists a  $C$ -embedded, discrete subset  $S = \{x_n\}_{n \in \mathbb{N}}$  such that  $x_n \in O_n$ . Moreover, such a set is closed.

**Proof.** If  $\{O_n\}$  is a sequence of open sets and  $\{V_n\}$  is a discrete sequence refining it, then we may find a function  $f \in C(X)$  for which  $f(x_n) = n$  for some  $x_n \in V_n$ . The set  $S = \{x_n\}$  is then homeomorphic to the set of natural numbers and by Theorem 1.19 of [5],  $S$  is  $C$ -embedded in  $X$ . The converse is patent.  $\square$

Some other interesting facts about DRS-spaces follow.

**Lemma 3.3.** (See [3].) *Let  $X$  be a DRS-space. Then  $\pi \chi(x, X) > \aleph_0$  for every  $x \in X$ . Moreover, if  $X$  is countable, then the converse is true.*

**Lemma 3.4.** *Let  $X$  be a DRS-space and  $U$  a nonempty, open subset. Then  $U$  is a DRS-space.*

**Proposition 3.5.** *Suppose  $X$  is a DRS-space. Then  $X$  is nowhere locally compact.*

**Proof.** Suppose by way of contradiction there is a compact subset of  $X$ , say  $K$ , with nonempty interior. Let  $O$  be the interior of  $K$ . Considering the sequence  $\{O\}_{n \in \mathbb{N}}$  we can find a distinct sequence, say  $\{x_n\}_{n \in \mathbb{N}}$ , which is discrete,  $C$ -embedded and  $\{x_n\}_{n \in \mathbb{N}} \subseteq O \subseteq K$ . Thus there is an unbounded continuous function on  $K$ , a contradiction.  $\square$

**Definition 3.6.** For a cardinal  $\kappa$ , a space  $X$  is called an  $R_\kappa$ -space if there exists a base of open sets  $\mathcal{U}$  such that  $\bigcup \mathcal{A}$  is closed for each  $\mathcal{A} \subseteq \mathcal{U}$  with  $|\mathcal{A}| < \kappa$ . Such a base will be called an  $R_\kappa$ -base. Observe that  $R_\kappa$ -spaces are necessarily zero-dimensional and that they are also weak  $P_\kappa$ -spaces. It is straightforward to show that every  $P_\kappa$ -space is an  $R_\kappa$ -space. As before when  $\kappa = \aleph_1$  we call them  $R$ -spaces. In [3] it is shown that  $R$ -spaces are weak  $P$ -spaces. It is clear that a  $P$ -space is an  $R$ -space. We show that  $R_\kappa$ -spaces have similar properties to  $P_\kappa$ -spaces.

It is known that the product of two (weak)  $P_\kappa$ -spaces is again a (weak)  $P_\kappa$ -space. The same holds for  $R_\kappa$ -spaces as our next result demonstrates.

**Proposition 3.7.** *Suppose  $X$  and  $Y$  are  $R_\kappa$ -spaces. Then so is  $X \times Y$ . Conversely, if  $X \times Y$  is an  $R_\kappa$ -space, then so are  $X$  and  $Y$ .*

**Proof.** Let  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be  $R_\kappa$ -bases of  $X$  and  $Y$ , respectively. Consider

$$\mathcal{B} = \{O \times P : O \in \mathcal{B}_1, P \in \mathcal{B}_2\}.$$

Clearly, this is a base of clopen sets for  $X \times Y$ . We proceed to show that for any  $T \subseteq \mathcal{B}$  with  $|T| < \kappa$ ,  $\bigcup T$  is clopen. Enumerate  $T$  as a  $\tau$ -sequence for some cardinal  $\tau < \kappa$ , say  $T = \{O_\alpha \times P_\alpha\}_{\alpha < \tau}$ . Observe that  $\bigcup T \subseteq (\bigcup_{\alpha < \tau} O_\alpha) \times (\bigcup_{\alpha < \tau} P_\alpha)$ , where the latter set is clopen. Our aim is to show that no element of the complement of these two sets lies in the closure of  $\bigcup T$ . To that end let  $(x, y) \in (\bigcup_{\alpha < \tau} O_\alpha) \times (\bigcup_{\alpha < \tau} P_\alpha) \setminus \bigcup T$ .

Set  $I = \{\alpha < \tau : x \notin O_\alpha\}$  and  $J = \{\alpha < \tau : y \notin P_\alpha\}$  and observe that  $I \cup J = \tau$ . Let  $\alpha, \beta$  be such that  $x \in O_\alpha \setminus O_\beta, y \in P_\beta \setminus P_\alpha$ .

Now,

$$(x, y) \in \left( O_\alpha \setminus \left( \bigcup_{\sigma \in I} O_\sigma \right) \right) \times \left( P_\beta \setminus \left( \bigcup_{\sigma \in J} P_\sigma \right) \right),$$

where the right-hand side is a clopen set (by hypothesis). If  $(x, y)$  belongs to the closure of  $\bigcup T$ , then there is some  $(w, z) \in (O_\alpha \setminus (\bigcup_{\sigma \in I} O_\sigma)) \times (P_\beta \setminus (\bigcup_{\sigma \in J} P_\sigma)) \cap O_\gamma \times P_\gamma$  for some  $\gamma < \tau$ . Since  $I \cup J = \tau$  it follows that either  $\gamma \in I$  or  $\gamma \in J$ . In the first case we obtain that  $w \notin O_\alpha \setminus (\bigcup_{\sigma \in I} O_\sigma)$  and in the second case we have  $z \notin P_\beta \setminus (\bigcup_{\sigma \in J} P_\sigma)$ . Either case leads to a contradiction and therefore we have that  $\bigcup T$  is clopen, whence  $X \times Y$  is an  $R_\kappa$ -space.

For the converse note that a subspace of an  $R_\kappa$ -space is again an  $R_\kappa$ -space. It follows that if  $X \times Y$  is an  $R_\kappa$ -space then so are  $X$  and  $Y$ .  $\square$

**Corollary 3.8.**  *$X$  is an  $R_\kappa$ -space if and only if  $X \times X$  is an  $R_\kappa$ -space.*

**Proposition 3.9.** *If  $X$  is an  $R_\kappa$ -space, then every subset of size less than  $\kappa$  is  $C$ -embedded. Hence, if  $X$  is an  $R$ -space, then  $X$  is a weak  $P$ -space and every countable set is  $C$ -embedded.*

**Proof.** The second statement clearly follows from the first. Let  $X$  be an  $R_\kappa$ -space and  $\mathcal{U}$  an  $R_\kappa$ -base. For any subset  $S \subseteq X$  of size less than  $\kappa$  we use the fact that  $X$  is a weak  $P_\kappa$ -space to obtain  $S$  is a discrete closed subset, and thus for each  $s \in S$  we may find an open neighborhood  $O_s \in \mathcal{U}$  of  $s$  which contains no other point of  $S$ . Since each element of  $\mathcal{U}$  is clopen it follows that  $X$  is a topological sum of the  $O_s$  (and the complement of the union of them). It is patent to now show that  $S$  is  $C$ -embedded in  $X$ .  $\square$

**Corollary 3.10.** *A pseudocompact  $R$ -space is finite.*

**Definition 3.11.** A space is said to satisfy  $(\gamma)$  whenever it has the property that every countable subset is  $C$ -embedded. The subclass of weak  $P$ -spaces which satisfy  $(\gamma)$  is an interesting class of spaces and has been shown to be useful in the area of free topological groups (see [8]).

**Theorem 3.12.** *Let  $X$  be a weak  $P$ -space satisfying  $(\gamma)$ . If  $X$  is crowded, then  $X$  is a DRS-space. In particular, every crowded  $R$ -space is a DRS-space.*

**Proof.** This is clear as given any sequence of open sets  $\{O_n\}$  we can inductively select a sequence  $\{x_n\}$  such that  $x_n \in O_n$ . The hypothesis together with Proposition 3.2 does the rest.  $\square$

**Remark 3.13.** There exist crowded, zero-dimensional weak  $P$ -spaces which are not DRS-spaces (and hence not  $R$ -spaces). For example, the set of weak  $P$ -points of  $\beta\mathbb{N} \setminus \mathbb{N}$  is a pseudocompact, zero-dimensional weak  $P$ -space (see [1] or [9]).

We are ready for our main theorem.

**Theorem 3.14.** *Let  $X$  be a Tychonoff space.*

- (i)  *$X$  is a DRS-space.*
- (ii)  *$C_m(X)$  is an  $R$ -space.*
- (iii)  *$C_m(X)$  is a weak  $P$ -space satisfying  $(\gamma)$ .*
- (iv)  *$C_m(X)$  is a weak  $P$ -space.*

**Proof.** As previously mentioned it is shown in [3, Theorem 5.12] that (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iv). Therefore, since (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (iv) we need only demonstrate the direction (iv)  $\Rightarrow$  (i).

Suppose that  $C_m(X)$  is a weak  $P$ -space.  $X$  cannot have any isolated points. Now, let  $\{O_n\}_{n \in \mathbb{N}}$  be a sequence of nonempty, open subsets of  $X$ . Without loss of generality we assume that

$$O_n = \text{coz}(f_n)$$

for some  $f_n \in C(X)$  satisfying  $0 < f_n \leq \frac{1}{n+1}$ . Our hypothesis allows us to choose a unit  $e \in U(X)^+$  for which

$$B(\mathbf{0}, e) \cap \{f_n\}_{n \in \mathbb{N}} = \emptyset.$$

In other words for each natural number  $n$  there is an  $x_n \in O_n$  for which  $e(x_n) < f_n(x_n)$ . We may without much effort suppose that  $x_n \neq x_m$  whenever  $n \neq m$ . Set  $S = \{x_n\}$ . We claim that  $S$  is a closed, discrete subset of  $X$ . To see that  $S$  is closed observe that if  $x$  is an accumulation point of  $S$  then since  $e(x_n) \leq \frac{1}{n+1}$  it follows by continuity that  $e(x) = 0$ , contradicting that  $e$  is a unit.

Now since  $e$  is a unit set  $g = e^{-1}$  and observe that  $\{g(x_n)\}_{n \in \mathbb{N}} \cap (m, m + \frac{3}{2})$  is a finite subset for each natural number  $m$ . So  $S$  is  $C$ -embedded.  $\square$

**Corollary 3.15.** *If  $Y \subseteq X$  is a dense  $C$ -embedded subspace, then  $X$  is a DRS-space if and only if  $Y$  is a DRS-space.*

**Remark 3.16.** Putting Theorems 3.12 and 3.14 together we see that if  $X$  is a DRS-space, then  $C_m(X)$  is a crowded  $R$ -space and hence a DRS-space. The converse is easily seen to be false. Notice that if  $X$  is the topological sum of two spaces  $Y$  and  $Z$ , then  $C_m(X)$  and  $C_m(Y) \times C_m(Z)$  are homeomorphic. Thus, if we let  $Y$  be a DRS-space and  $Z$  be any space which is not a DRS-space, then  $C_m(X)$  is a DRS-space yet  $X$  is not.

#### 4. Convergent sequences in $C_m(X)$

Recall that if  $X$  is a DRS-space, then no countable subset of  $C_m(X)$  has an accumulation point. In this section we consider a weaker property. Namely, we are interested in determining when  $C_m(X)$  has no convergent sequences. At the end of this section we supply an example showing that this property is in fact weaker than  $C_m(X)$  being a weak  $P$ -space.

**Definition 4.1.** We call a space  $X$  an *almost DRS-space* if for every sequence of nonempty open subsets of  $X$ , say  $\{O_n\}$ , there exists an infinite subset  $T \subseteq \mathbb{N}$  and a discrete sequence of nonempty open sets  $\{V_n\}_{n \in T}$  such that  $V_n \subseteq O_n$  for each  $N \in T$ . It is again easily seen that an almost DRS-space has no isolated points and is not pseudocompact. Since the proofs of Lemma 3.4 and Proposition 3.5 can be slightly modified for almost DRS-spaces we obtain that an almost DRS-space is nowhere locally compact.

**Lemma 4.2.**  *$X$  is an almost DRS-space if and only if for every sequence of open sets  $\{O_n\}_{n \in \mathbb{N}}$  there exists an infinite subset  $T$  of  $\mathbb{N}$  and a sequence of distinct points of  $X$ , say  $S = \{x_n\}_{n \in T}$  such that  $x_n \in O_n$  for each  $n \in T$  and  $S$  is a discrete  $C$ -embedded subset of  $X$ .*

**Proposition 4.3.** *Let  $X$  be an almost DRS-space. Then for every  $x \in X$ ,  $\chi(x, X) > \aleph_0$ .*

**Remark 4.4.** In our example below we will show that an almost DRS-space can have countable  $\pi$ -character.

**Theorem 4.5.** *The following are equivalent for a Tychonoff space  $X$ :*

- (i)  $X$  is an almost DRS-space.
- (ii)  $C_m(X)$  has no nontrivial convergent sequences.
- (iii) The only compact subspaces of  $C_m(X)$  are the finite ones.

**Proof.** Suppose by means of contradiction that  $X$  is an almost DRS-space yet there is a nontrivial convergent sequence, say  $\{f_n\}_{n \in \mathbb{N}}$ , in  $C_m(X)$ . By translating and taking absolute values, we can assume that  $f_n \rightarrow \mathbf{0}$  and that  $\mathbf{0} \leq f_n$  for each  $n \in \mathbb{N}$ . Set  $O_n = \text{coz}(f_n)$  and by hypothesis choose a countable subset  $T \subseteq \mathbb{N}$  and a set  $S = \{x_n\}_{n \in T}$  such that  $x_n \in O_n$  and  $S$  is discrete and  $C$ -embedded in  $X$ . Let  $e \in U(X)^+$  so that  $e(x_n) = \frac{1}{2}f_n(x)$ . It follows that for every  $n \in T$ ,  $f_n \notin B(\mathbf{0}, e)$ . Therefore, the sequence  $\{f_n\}$  cannot converge to  $\mathbf{0}$  which demonstrates that (i) implies (ii).

Next, let  $\{O_n\}_{n \in \mathbb{N}}$  be a sequence of nonempty open subsets of  $X$ . Without loss of generality, we assume that  $O_n = \text{coz}(f_n)$  for each natural number  $n$  where  $f_n \leq \frac{1}{n}$ . By hypothesis we know that the sequence  $\{f_n\}$  does not converge in  $C_m(X)$ ; in particular, they do not converge to  $\mathbf{0}$ . By definition this means there is some  $e \in U(X)^+$  for which  $B(\mathbf{0}, e)$  is disjoint to some subsequence  $\{f_{n_k}\}$ . What this means is that for each natural number  $k$  there is some  $x_{n_k} \in O_{n_k}$  such that  $0 < e(x_{n_k}) < f_{n_k}(x_{n_k}) \leq \frac{1}{n_k}$ . It is easy to show that we can take the  $x_{n_k}$  to be distinct and hence  $S = \{x_{n_k}\}$  is a discrete and  $C$ -embedded subset of  $X$ . This shows that (ii) implies (i).

Clearly, since a convergent sequence is compact it follows that (iii) implies (ii). So suppose that  $A$  is a compact subspace of  $C_m(X)$ . If  $A$  is infinite then we can choose a countable discrete subspace of  $A$ , say  $S$ , and let  $f \in \bar{S} \setminus S$ . Since translation by an element is a homeomorphism it follows that without loss of generality  $f = \mathbf{0} \in \bar{S} \setminus S$ . Therefore, for each  $n \in \mathbb{N}$  there is an  $f_n \in S$  such that  $f_n \in B(\mathbf{0}, \frac{1}{n})$ . We claim that  $f_n \rightarrow \mathbf{0}$ .

First of all, observe that the closure of the set  $\{f_n\}$  is a compact subspace and since  $\{f_n\}$  is a discrete subspace there exists a function in the closure. But the  $f_n$  converge to  $\mathbf{0}$  pointwise, and so

$$\overline{\{f_n\}} = \{f_n\} \cup \{\mathbf{0}\}.$$

The fact that this set is compact and that the  $f_n$  form a discrete subspace implies that  $f_n \rightarrow \mathbf{0}$ .  $\square$

**Example 4.6.** We now construct an example of an almost DRS-space which is not a DRS-space. Moreover, the space will be countable and will have countable  $\pi$ -character. Consider  $E$  the absolute of the space  $[0, 1]$  (see [10]). It is known that  $E$  is a crowded extremally disconnected space and has countable  $\pi$ -weight. Therefore,  $E$  is separable. Let  $X$  be a countable dense subset of  $E$ . The density of  $X$  in  $E$  implies that  $X$  is crowded and has countable  $\pi$ -weight. We show that  $X$  is an almost DRS-space. To that end let  $\{O_n\}$  be a

collection of nonempty open subsets of  $X$ . It is straightforward to check that there is a subsequence  $T$  of  $\mathbb{N}$  and a sequence  $S = \{x_n\}_{n \in T}$  with  $x_n \in O_n$  and  $S$  discrete. Our goal is to show that  $S$  contains an infinite subset which is closed. Since  $X$  is countable and hence normal this set will also be  $C$ -embedded.

Let  $\mathcal{A}$  be an uncountable collection of infinite subsets of  $S$  which are pairwise almost disjoint (see 12B, [5]). Since  $X$  is extremally disconnected and  $S$  is discrete it follows that  $S$  is a  $C^*$ -embedded subset of  $X$ . Therefore, the collection of sets  $\{\text{cl}_X A \setminus S\}_{A \in \mathcal{A}}$  is pairwise disjoint and so one must be empty, say  $A \in \mathcal{A}$ . It follows that  $A$  is a desired infinite closed (and hence  $C$ -embedded) subset of  $S$ .

**Remark 4.7.** We would like to thank the referee for supplying us with the space in Example 4.6. We were able to construct an example of a countable almost DRS-space containing exactly one point of countable  $\pi$ -character. The above example is a much more elegant example of an almost DRS-space demonstrating that Proposition 4.3 cannot be strengthened.

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